

Dist - E.A 05-0H730k Project Name Route 101/LOVR Interchange
 Co-Rte-PM SLO-101-PM 25.9
 Date 4/2/2008
 Project Mngr Doug Heumann Telephone Number 805-549-3788

PROJECT RISK MANAGEMENT PLAN																		
Priority	Identification					Qualitative Analysis			OPTIONAL Quantitative Analysis			Response Strategy		Monitoring and Control				
	Status	ID #	Date Identified Project Phase	Functional Assignment	Threat/Opportunity Event	Risk Trigger	Type	Probability	Impact	Risk Matrix	Probability (%)	Impact or days	Effect (\$ or days)	Strategy	Response Actions including advantages and disadvantages	Responsibility (Task Manager)	Status Interval or Milestone Check	Last date changes made to risk and Comments
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)=(12)(13)	(15)	(16)	(17)	(20)	(18)
	Active	1	9/1/2007 PA&ED	City/SLOCOG	Lack of STIP funding could cause delay in construction start	2008 SLOCOG RTP submittal	Schedule	High	Very High		80%			Acceptance	City Public Works to complete DED & DPR for inclusion ion 2008 SLOCOG RTP	City		(3/04/08) PM rec'd DPR for review (4/01/08) PM rec'd rewritten Design Exception Fact Sheets for review (4/1/2008) DED sent to QA/QC Branch for NEPA review--expect comments returned between 4/14 and 4/18
	Active	2	9/1/2007 PA&ED	City	Discovery of aerial deposited lead (ADL) could result in higher costs disposal costs	Environmental studies determine high ADL levels	Cost	High	Moderate		70%			Acceptance	Test for ADL with Geotech Study & include contingency in budget in case of occurrence	City		
	Retired	3	10/1/2007 PA&ED	City	CT (PM & CR VA Coordinator) discussed the Value Analysis requirement. City did scheduled for the first week of February 2008.	VA Team reveals major problem or design change at late date	Schedule	Moderate	Very High		50%			Acceptance	Wait for VA results	City		(11/28/07) PM & Habib discussed VA requirement with City consultant (1/2008) City contracted with Martin Hsu (1/16/08) Pre-VA Study Teleconference (2/04/08-02/07/08) VA Study scheduled (4/4/2008) VA Implementation Meeting--no major revisions to project
	Active	4	11/1/2007 PA&ED	Environ/ Design	QA/QC--NEPA Review of ED and CT review of PR requiring additional changes	Upon review at each resubmittal phase	Schedule	High	Very High		80%			Acceptance	Do not make changes that are not necessary for the validity of the document.	Caltrans/City	Each Submittal	(4/01/08) DED sent DED to QA/QC Branch for NEPA review
	Active	5	8/1/2007 PA&ED	HQ Coop Agmts	Coop Agmt not processed in a timely manner	HQ does not return Coop Agmt to District within 60 days	Schedule	Very High	Very High		90%			Avoidance	Raise issue of non-response to SFP	CT PM	monthly	(8/2007) D05 Coop Agmt Coordinator sent to HQ PM checked with D05 Coop periodically--e-mailed HQ in Nov 2007 & did not receive response until 1/2008 As of this date-- no Coop Agmt
	Active	6	5/1/2007 PA&ED	City/Developers	Prado Road IC not constructed	Traffic ops failure at LOVR	Quality	High	High		80%			Avoidance	City to ensure that Prado Rd IC is built prior to more land use permitted	City		
	Active	7	1/1/2007 PA&ED	City	Wetlands, riparian areas in project footprint	Identification of any	Schedule	Low	High		30%			Avoidance	Project will avoid	City		
	Active	8	8/1/2007 PS&E	City	Discovery of haz waste when working in ACRO lot	Discovery of haz waste	Cost	High	High		80%			Acceptance	Upon discovery remedy per law & include in contingency construction funds	City		
	Active	9	PS&E	SLOCOG	Project not listed in RTP & RTIP	If SLOCOG not in 2008 RTIP	Schedule	Low	High		30%			Avoidance	City to follow-up with SLOCOG to ensure that project listed in RTP & RTIP	SLOCOG/City		